# Partnering for Success

We deliver so you can deliver



#### Overview

- Departmental Who we are
- Organizational Why we do what we do
- Operational What we do
- Procedural Environmental roles and expectations



## **Environmental's Role in the Project Delivery Process**

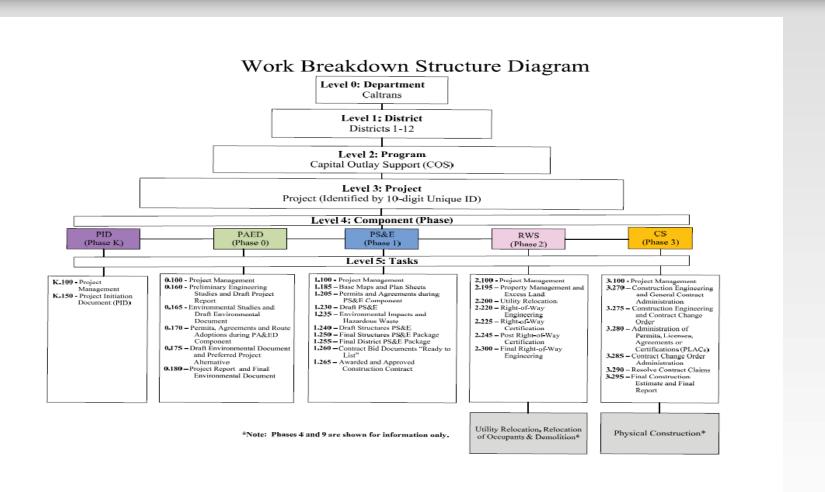
- Connecting people with transportation projects internally and externally
- Connecting expertise to issues
- Connecting transportation projects to the environment



# Partners in implementing Caltrans Mission, Vision and Goals

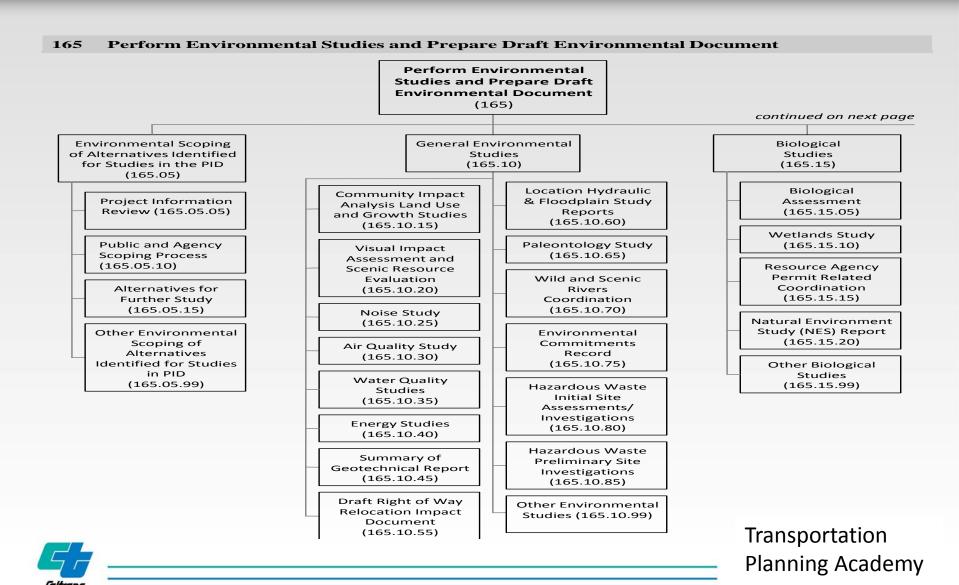
- Mission: Provide a safe, sustainable, integrated and efficient transportation system to enhance California's economy and Livability.
- Goal 3: Make long lasting, smart mobility decisions that improve the environment support a vibrant economy and build communities not sprawl.







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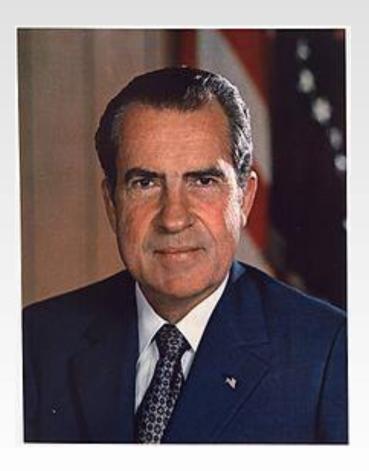


There are more than 600 environmental planners and environmental engineers in Caltrans representing many specialties including:

- Air Quality
- Archeology
- Biology
- Water Quality
- Noise
- Hazardous Waste
- Coordinator



**NEPA 1969** 



**CEQA 1970** 



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To comply we must:

 Analyze and evaluate impacts on the physical, social, and economic environment

- Mitigate impacts [CEQA and FHWA Reg. 23 CFR 771.105 (d)(2)]



# **Applies to State and Federal Actions**

NEPA

CEQA

- Federal Funding
- Federal Property
- Federal Approval

- State Funding
- State Property
- State Approval



### The NEPA Program Assignment

FHWA assigned, and the Department assumed, all of the United States Department of Transportation (USDOT) Secretary's responsibilities under NEPA. This assignment to the Division of Environmental includes all projects on the State Highway System and all Local Assistance Projects off of the State Highway System within the State of California - except for certain categorical exclusions that FHWA assigned to the Department pursuant to 23 U.S. Code 326. {23 USC 326 & 23 USC 327}



#### Other State and Federal Laws

- CA Clean Air Act
- CA Endangered Species Act
- Porter-Cologne Water Act
- CA Fish and Game Code
- AB32/SB32/AB2800 Related to Climate Change

- Federal Clean Air Act
- Federal Clean Water Act
- Presidential Executive Orders
- Federal Endangered
   Species Act



### **WBS 150 and PID Development**

| Purpose and Need  Initial Ideas Project Area | Environmental Constraints  Cultural, economic, social, endangered species, waters, wetlands, parks, etc. | Conceptual<br>Geometrics of<br>Alternatives<br>(footprint) | Preliminary Environmental Evaluation impacts, costs, schedule, proposed environmental document type | Project Initiation<br>Document<br>(PSR, PSR/PR, PSSR,<br>etc) |
|--|--|--|---|---|
| I.D. the Problem and Assess the Site 150.05  |  | Develop Alternatives 150.10<br>Analyze Alternatives 150.15 |   |   |
|  |  |  | Perform Preliminary Environmental Evaluation 150.20   |   |

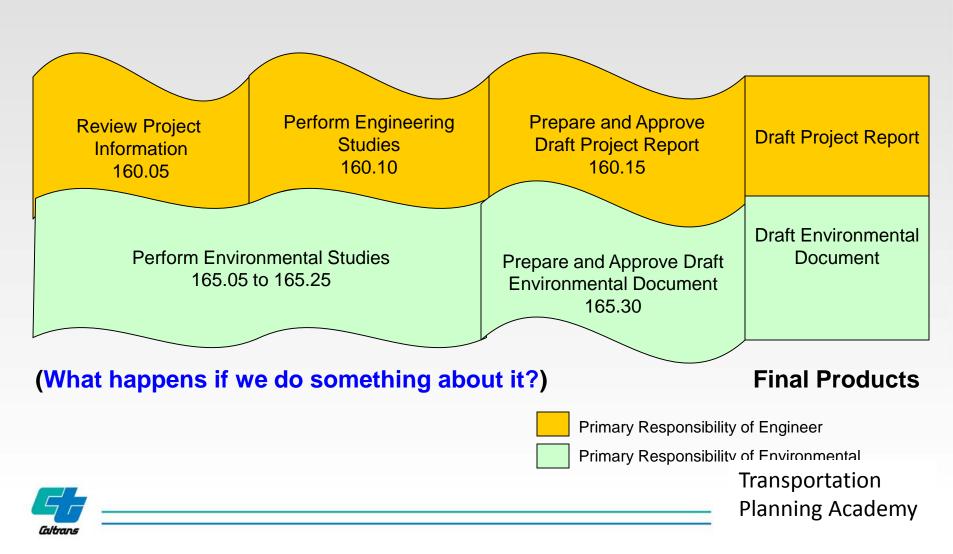
(What's the problem? What can we do to fix it?)

**Final Products** 



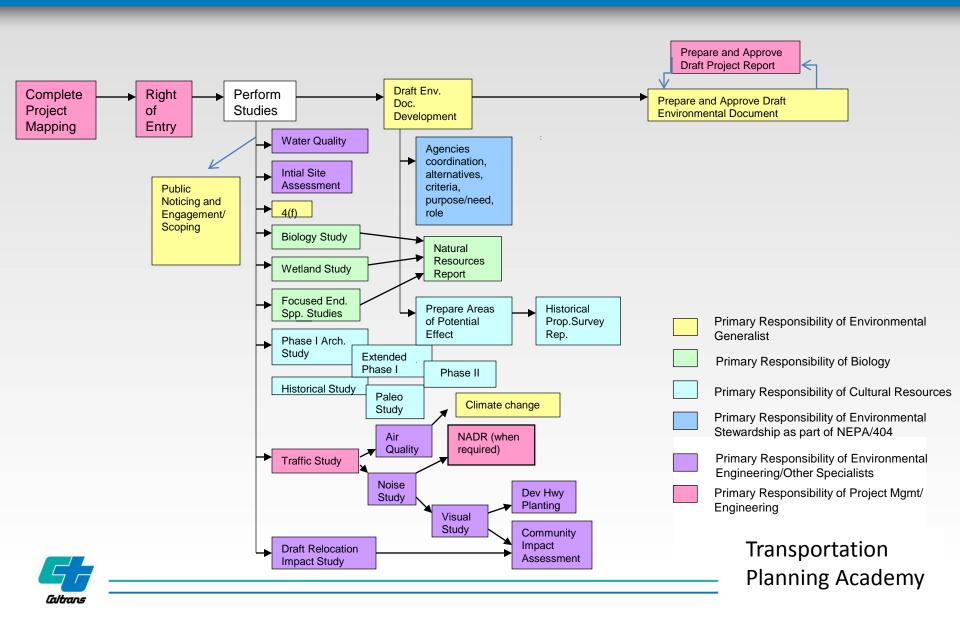
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#### WBS 160 and 165 and Draft Environmental Document



- Tools and Resources
  - Environmental Study Request
  - Mapping
  - PDT team members
  - Early and on-going communication (scope creep, unforseen features, any risks to project delivery)
  - other expectations?

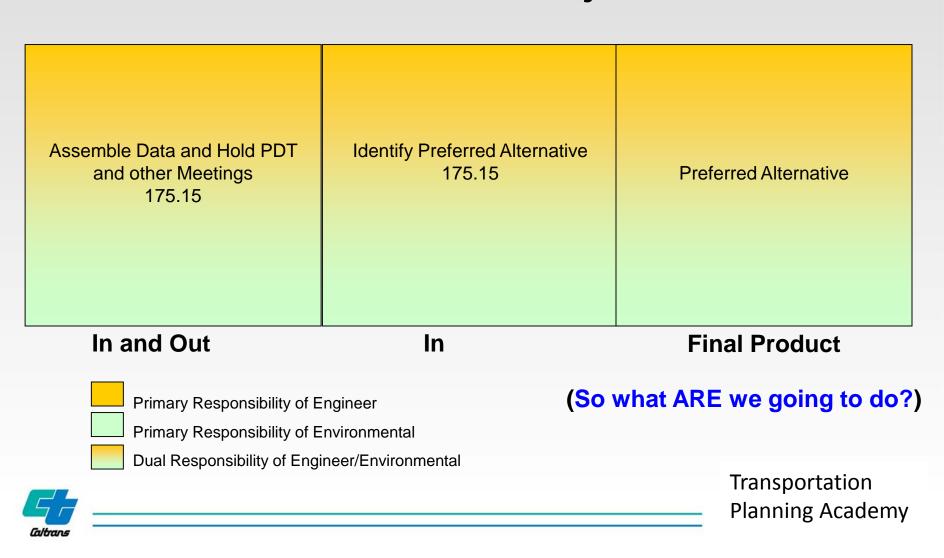




#### **WBS 175 Circulate Draft Environmental Document**

| Prepare Pub<br>Distribution Hea   | Plan<br>Public<br>Hearing | Prepare<br>Notice of<br>Availability<br>and Notice<br>of Public<br>Hearing<br>175.10 | Circulate<br>Environmental<br>Document<br>175.05 | Hold<br>Public<br>Hearing<br>175.10 | Receive<br>Comments<br>175.15 | Record of Public<br>Hearing     |
|---|---------------------------|--|--|-------------------------------------|-------------------------------|---------------------------------|
|   | 175.10                    |  |  |                                     |                               | Responses to<br>Comments        |
| In  | In                        | In   | Out  | In                                  | In                            | Final Products                  |
| Primary Responsibility of Engineer  Primary Responsibility of Environmental  (What do the people of |                           |  |  |                                     |                               | ple think about it?)            |
|   | Dual Resp                 | oonsibility of Eng   | gineer/Environmental                             |                                     |                               | Transportation Planning Academy |

#### **WBS 175 Select Preferred Project Alternative**



#### **WBS 180 and Final Environmental Document**

Refine Design of
Preferred Alternative
based upon
Comments and
Coordination between
Engineer and
Environmental
180.05

Prepare and Approve Project Report 180.05

Prepare and Approve Final Environmental Document 180.10

Close Out Environmental Process 180.15

Project Report

Final Environmental Document

Environmental Decision Documents (Findings, Statement of Overriding Considerations, NOD, ROD)

(Ask for Approvals. Tell the People.)



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# **Environmental Hot Topics**

- Environmental Compliance
  - Develop feasible, biddable, buildable environmental commitments, when necessary, proportionate to impacts
  - Disclose anticipated permit, licenses, agreements, and certifications (PLACs), environmental commitments, and DSB, if applicable
  - Participate in PDT and PSE (30/60/90 review), and track and implement during construction.
  - Post-construction environmental commitments –
     Status, track, and complete.



# **Environmental Hot Topics**



#### **MITIGATION**

Reduce GHG emissions

#### **ADAPTATION**

Planning and designing the transportation system to be able to with stand changing climate conditions



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# **Environmental Hot Topics**

#### The Environmental Generalist Role

- Analyze the Climate Change Impacts related to a proposed project in a CEQA analysis
- Get to know your transportation planning counterparts
- Become familiar with the RTP/SCS
- Be an advocate on the PDT
- Ask Questions (what can be done in project planning through project delivery to reduce GHG and prepare for extreme weather events)



### **Environmental Contact Information**

HQ Contact information

**Environmental Coordinators** 

http://www.dot.ca.gov/ser/contact.htm

- District contact Communicate early and often. See assigned Env. Senior and assigned env. staff
- Communication procedures See district contact.
- Are there any things that must be communicated to levels above the project?



## **Environmental References Information**

- Key guidance materials
- Environmental Generalist/Practitioner Hints, Resources and Tools Guidance for Compliance <a href="http://env.onramp.dot.ca.gov/emo/environmental-generalist-hints-resources-and-tools-hrt">http://env.onramp.dot.ca.gov/emo/environmental-generalist-hints-resources-and-tools-hrt</a>
- Standard Environmental Reference Guidance for Compliance http://www.dot.ca.gov/ser/vol1/vol1.htm
- Standard Environmental Reference Ch 39: Incorporating Environmental Commitments into Design <a href="http://www.dot.ca.gov/ser/vol1/sec5/ch39impc/chap39.htm">http://www.dot.ca.gov/ser/vol1/sec5/ch39impc/chap39.htm</a>
- Project Delivery Directive Permissible Design Activities during NEPA
   <a href="http://www.dot.ca.gov/hq/projdev/directive/PD-10-Permissible-Design-Activities-During-NEPA.pdf">http://www.dot.ca.gov/hq/projdev/directive/PD-10-Permissible-Design-Activities-During-NEPA.pdf</a>
- Permits, Licenses, Agreements, and Certifications (PLACs), SSPs, and NSSPs
   Guidance <a href="http://env.dot.ca.gov/library/library.shtml#placs">http://env.dot.ca.gov/library/library.shtml#placs</a>



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# **Environmental References Information**

- Additional Key guidance
- Disposal, Storage, and Borrow Sites, <a href="http://www.dot.ca.gov/hq/oppd/dib/dib85.pdf">http://www.dot.ca.gov/hq/oppd/dib/dib85.pdf</a>
- Construction Manual, Section 7, Environmental Stewardship (<a href="http://www.dot.ca.gov/hq/construc/constmanual/chapter7/sec7-1.pdf">http://www.dot.ca.gov/hq/construc/constmanual/chapter7/sec7-1.pdf</a>)
- State-Cost Utility Design Activities prior to Env Approval <a href="http://www.dot.ca.gov/ser/downloads/memos/RW">http://www.dot.ca.gov/ser/downloads/memos/RW</a> Utility.pdf
- Timing of Final Design in Relation to NEPA Approval Memo
   http://www.dot.ca.gov/hq/env/nepa\_pilot/pdf/policies/Timing\_NEPA\_App\_Rick\_Memo\_Attach.pdf
- Rights of Entry for Environmental Work
   <u>http://www.dot.ca.gov/ser/downloads/memos/ROW-EnvMemo.pdf</u>
- Begin Environmental Memo <u>http://www.dot.ca.gov/ser/downloads/memos/FelkerBeginEnvMemo.pdf</u>



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# Take Home Messages

- Contact Environmental early and often
- Contact Environmental when a project initiates or changes
- Regulatory noticing may occur at various points throughout the project delivery process
- PA/ED process is an interative process. Design features may change based on design inputs and our outputs
- Resolve concerns and issues early
- Feasible, buildable, biddable environmental commitments
- Environmental is involved throughout the life of the project, and at times afterwards.

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# Take Home Messages

# Questions

